

## OFAC Compliance Reporter and Inquiry

# Your OFAC Compliance Tool **INSPECT**

**INSPECT**, Response Technology's OFAC Compliance Reporter is a powerful tool for banks using ITI Premier II and/or Response's INVISION reporting tool. **INSPECT** can compare the names from the ITI Premier® database with the names on the OFAC list as well as the OCC Control List distributed by Federal law enforcement through the Federal Reserve. **INSPECT** can also take a delimited text file from any other application and process it against these lists. When used at a site that has Response Technology's INVISION reporting software,

this convenient tool uses INVISION's powerful and comprehensive capabilities to generate a list of every name/company in the ITI Premier database and compares the names found against the names in the OFAC and Control lists. Online updating of the OFAC list is built into **INSPECT**. It can also directly read the Control List's native EXCEL file. Subsequent comparisons can be limited to only the changes in the OFAC and ITI databases.

In addition, **INSPECT**'s Inquiry mode can be used at the New Account and Wire desks to compare names from transactions against the OFAC database. The Inquiry mode can be deployed to any personal computer in the bank.

### Features:

- Automatic updates from US Treasury web site for the Office of Foreign Assets Control (OFAC) data list (assumes available connection to the Internet)
- Easy import of names from ITI Premier for comparison via SmartReport, INVISION, or text file created by any third-party application
- Powerful data comparison feature resolves near-match situations, e.g. JEAN/JOAN or SMITH CONSTRUCTION COMPANY/SMITH'S CONSTRUCTION CO
- Report (summary/detailed versions) shows information from ITI and OFAC making resolution of possible matches easy and convenient

### Benefits:

- Retrieving current OFAC data from US Treasury is a one-button operation and doesn't require Internet experience or special software
- Closeness of matching is controlled with a variety of options

**Response**  
T E C H N O L O G Y

304 Main Avenue South Suite 300, Renton, WA 98055 (800) 523-5201 (425) 254-8687 Fax: (425) 254-8612

INVISION OFAC Compliance Report      Thursday, January 17, 2002

Search method : Difference Count of 2  
Advanced Options: First Letter Must Match  
Minimum OFAC Name Size of 4  
Matches Found : 33

| Port # | Exact Level | INVISION Name                  | SON Name   | SON Identifier # |
|--------|-------------|--------------------------------|--|------------------|
| 501299 | 50 [1]      | ASSOCIATES                     | ASSOCIATED ENGINEERS   | 754              |
| 502774 | 50 [1]      | BAILARD CONSTRUCTION           | BARAKAAT CONSTRUCTION COMPANY  | 6962             |
| 504933 | 50 [2]      | TICA                           | TAKU AUTOMOBILE COMPANY (A)  | 254              |
| 505000 | 50          | TALIE DAVID                    | JULIO CESAR NASSER DAVID   | 6320             |
| 50749  | 66 [2]      | TRACES                         | TRAVEL SERVICES INC.   | 2100             |
| 51224  | 50          | FEDERATION OF                  | FOLLOWERS OF THE PROPHET MUHAMMED (A)                                      | 4897             |
| 51224  | 50 [1]      | FEDERATION OF                  | FOREFRONT OF THE IDEA (A)  | 4700             |
| 51275  | 50          | GENERAL LANE                   | GENERAL LESTAN CO. FOR ROAD CONSTRUCTION AND MAINTENANCE                   | 2131             |
| 515981 | 60 [2]      | JOYCE R TILLER TRST 1194 TRUST | JOYCE  | 1166             |
| 51776  | 50          | STASGER CORPORATION            | STATE CORPORATION FOR CINEMA   | 4766             |
| 51927  | 60 [2]      | JOYCE KLICK TRUST              | JOYCE  | 1166             |
| 51920  | 60          | HONEY CROWN CORPORATION        | HANAS  | 4695             |
| 800320 | 60 [2]      | MIDAL INC                      | MIGIL (A)  | 4114             |
| 800320 | 60 [2]      | MIDAL INC                      | MIGIL (A)  | 4174             |
| 800347 | 81          | THE ANCESTORS COMPANY          | TEA PACKETING AND TRADING COMPANY  | 4779             |
| 901210 | 50 [1]      | SCARSELLA INTERNATIONAL        | SUDANESE INTERNATIONAL TOURISM COMPANY, C/O TOURISM AND HOTELS CORPORATION | 6478             |
| 901981 | 33          | AVULIN TRADING COMPANY         | ALAKHTAN TRADING COMPANY (A)   | 6373             |
| 909490 | 50 [2]      | SEASIDE AIR CONDITIONING INC   | SUDAN AIR  | 4768             |

(A) - Indicates an alternate name for an SON item.  
[ ] - Number within brackets indicates # of characters difference between names.  
26926 INVISION records were compared to 1786 OFAC items.

**OFAC Entries**

**Possible Matches from ITI database**

**INSPECT**, Your OFAC Compliance Reporter and Inquiry Tool, makes it easy to ensure compliance with the US Treasury guidelines.

**Recommended System Requirements**

The methods used to perform the matching of names require a great deal of processing. The time that it takes to do a complete comparison of your customer information against the OFAC database will be significantly impacted by the computing power of the PC used to perform this check. Checking a single name against the OFAC database can be accomplished with any PC.

- Pentium III (800 Mhz or better)
- 64 Mb of RAM (or greater)
- minimum of 100 Mb of free hard drive space
- Connection to Internet - to retrieve OFAC database from US Treasury web site

**For More Information:**

Contact Response Technology at [info@responsetech.com](mailto:info@responsetech.com) or visit the website at <http://www.responsetech.com/>

ITI Premier® is a registered trademark of Information Technology Inc. All other marks are the trademarks of their respective holders.